



IN THE UNITED TATES PATENT AND TRADEMARK OFFICE

| In Re U.S. Pa | tent Application of: |) | |
|---------------|---|------------------------|--|
| Applicant(s): | Takeda et al. | • | ertify that this paper is being deposited with States Postal Service as FIRST-CLASS |
| Serial No. | 09/689,928 |) mail in a | in envelope addressed to: Mail Stop ENT, Commissioner for Patents, P.O. Box |
| Conf. No.: | 7460 |) | andria VA 22313-1450, on this date. |
| Filed: | October 12, 2000 |) January 27) Date | Registration No. 47,954 |
| (VA) | TICALLY-ALIGNED LIQUID CRYSTAL LAY DEVICE |) F-CLASS.WCM | |
| Art Unit: | 2871 |) | |
| Examiner: | Nguyen, D. |) | |

REQUEST FOR ACKNOWLEDGMENT OF CONSIDERATION OF AN INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request acknowledgment of three references cited in the Information Disclosure Statement ("IDS") filed on October 12, 2000. Courtesy copies of the IDS, Form PTO-1449, and the return postcard (indicating receipt by the Patent and Trademark Office) are enclosed.

Although the IDS itself has been partially acknowledged, and an initialed copy of Form PTO-1449, mailed March 1, 2001, has been received by Applicants, the Examiner failed to demonstrate acknowledgment of JP 07-013164, JP 07-028063, and JP

07-311383, which were also cited in the IDS. Applicants again request such acknowledgment of consideration of these references in the form of an initialed copy of the Information Disclosure Citation (Form PTO-1449).

Should there be any questions regarding this paper, the Examiner is invited to contact the undersigned attorney at the number listed below.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

 $\mathbf{B}\mathbf{y}$

Josh C. Snider

Registration No. 47,954

Customer No. 24978

January 27, 2006

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Facsimile:

(312) 360-9315

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re U.S. Pat | ent Application |) I hereby certify that this paper is being deposited with the |
|----------------|---|--|
| Applicant: | Takeda et al. | United States Postal Service as FIRST-CLASS mail in an envelope addressed to: Mail Stop AMENDMENT, |
| Serial No. | 09/689,928 | Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this date. |
| Filed: | October 12, 2000 | January 27, 2006 |
| (VA) I | ICALLY-ALIGNED LIQUID CRYSTAL AY DEVICE | Date Registration No 47,954 Attorney for Applicants () |
| Art Unit: | 2871 |)) |
| Examiner: | Nguyen, D. |)) |

TRANSMITTAL

Dear Sir:

Transmitted herewith is a communication regarding the above-identified application.

- (X) Information Disclosure Statement.
- (X) Form PTO-1449 with copies of cited foreign references.
- (X) Request for Acknowledgement of Consideration of an Information Disclosure Statement (with copies of the IDS filed October 12, 2000, Form PTO-1449 and return postcard).
- (X) If a Petition under 37 C.F.R. ≥1.136(a) for an extension of time for response is required to make the attached response timely and does not separately accompany this transmittal, Applicant(s) hereby petition(s) under 37 C.F.R. ≥1.136(a) for an extension of time for response in the above-identified application for the period required to make the attached response timely.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. ⇒1.16-1.17, or credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

By:

Customer No. 24978 January 27, 2006 300 South Wacker Drive Suite 2500 Chicago, Illinois 60606

Telephone: Facsimile:

(312) 360-0080 (312) 360-9315

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GREER,\BURNS\& GRAIN, LTD.

Josh C. Snider

Registration No. 47,954





Jc916 U.S. PTO In re Patent Application of 09/689928
Takeda et al.

Div. of S.N. 09/097,027

Filed: 6-12-98

For: VERTICALLY-ALLIGNED (VA). . .

PATENT APPLICATION

File No. 2803.64683 JKF:kmw

10-12-00

Enclosed is a Notification of Filing of Continuing or Divisional Application; Request for filing Continuing or Divisional Application in duplicate; check for \$728.00; copy of Declaration and power of attorney; copy of Assoc. Power of attorney; Preliminary amendment; 216 pp. of Specification, including claims and an abstract; 246 sheets of formal drawings; IDS, PTO Form 1449 w/cited references; and Certificate of Express Mail No. EL409506285US.

Assistant Commissioner for Patents

Please acknowledge receipt of the above identified document by applying the Patent and Trademark Office receipt hereto and mailing this card.

Respectfully, GREER, BURNS & CRAIN, LTD.





PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re U.S. P | Patent Application |) | |
|-----------------------|--|--|---|
| Applicant: | Takeda et al. |) | |
| Div. of Serial No. | 09/097,027 | Postal Service as for Patents, Wash) 10-12-00 | hat this paper is being deposited with the United State Express Mail in an envelope addressed to: Asst. Comminington, D.C. 20231, on this date. |
| Filed: | June 12, 1998 |) Date | Express Mail Label No.: EL409506285US |
| (VA) | FICALLY-ALLIGNED LIQUID CRYSTAL LAY DEVICE |))) | DOCKETEIN |
| Art Unit: | 2871 |) | OCT 16 2000 |
| Examiner: | Nguyen, D. |) | GREER, BURNS & CRAIN, LTD |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants through counsel herewith:

Submit copies of the patents as set forth in the attached form PTO-1449 as follows:

FOREIGN PATENT DOCUMENTS

| DOCUMENT NO. | COUNTRY | DATE |
|--------------|---------|---------------|
| 1-189629 | Japan | Jul. 28, 1989 |
| 1-196020 | Japan | Aug. 7, 1989 |
| 2151830 | Japan | Jun. 11, 1990 |



| DOCUMENT NO. | COUNTRY | DATE |
|--------------|---------|---------------|
| 2-190825 | Japan | Jul. 26, 1990 |
| 3-43701 | Japan | Feb. 25, 1991 |
| 3-209220 | Japan | Sep. 12, 1991 |
| 3-261914 | Japan | Nov. 21, 1991 |
| 6301036 | Japan | Oct. 28, 1994 |
| 07013164 | Japan | Jan. 17, 1995 |
| 07028063 | Japan | Jan. 31, 1995 |
| 7-43719 | Japan | Feb. 14, 1995 |
| 7199193 | Japan | Aug. 4, 1995 |
| 07311383 | Japan | Nov. 28, 1995 |
| 10048634 | Japan | Feb. 20, 1998 |
| 636 917 | Europe | Feb. 1, 1995 |

Copies of the listed documents are enclosed herewith. Applicants are also enclosing a partial English translation of JP 3-261914 and a full English translation of JP 07311383.



REMARKS

Applicants respectfully request that the Examiner consider the above-listed references in the examination of this application and list these references of record in the application.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

Ву

James K. Folker Registration No. 37,538

October 12, 2000

Suite 8660 - Sears Tower 233 S. Wacker Drive Chicago, Illinois 60606-6501 Telephone: (312) 993-0080 Facsimile: (312) 993-0633 F:\DATA\WP60\2803\64683\IDS-DIV.WPD

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| Form PT (Rev. 8-8 | | | | | | | | | | | Attorney Docket No. Div. of Ser No. 09/09 | | | | | |
| | FORMATION DISCLOSURE | | | | | | | | | | | Applicant: Takeda et al. | | | | |
| | (Use several sheets if necessa | | | | | | | ssar | / 79 | | | Filing : | Date | e: 6-12 - 98 | Group No. | 2871 |
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| Examine initial* | r | | I | Oocı | um | ent | No. | | Date Name | | | Class Subclass | | | Filing Date | |
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| | | D | ocu | mer | ıt N | Vo. | | | Date | Country | Cla | ıss | Sı | ıbclass | Translation | |
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| | | 1 | 1 | 8 | 9 | 6 | 2 | 9 | Jul. 28, 1989 | Japan | | | | | Desc. Only | |
| | | 1 | 1 | 9 | 6 | 0 | 2 | 0 | Aug. 7, 1989 | Japan | | | | | Desc. Only | |
| | | 2 | 1 | 5 | 1 | 8 | 3 | 0 | Jun. 11, 1990 | Japan | | | | | Abs. | |
| | | 2 | 1 | 9 | 0 | 8 | 2 | 5 | Jul. 26, 1990 | Japan | | | Ŀ | | Abs. | |
| | | | 3 | 4 | 3 | 7 | 0 | 1 | Feb. 25, 1991 | Japan | | | | | Desc.Only | |
| | | 3 | 2 | 0 | 9 | 2 | 2 | 0 | Sep. 12, 1991 | Japan | | | | | Abs. | |
| | | 3 | 2 | 6 | 1 | 9 | 1 | 4 | Nov. 21, 1991 | Japan | | | | | Partial | |
| , | | 6 | 3 | 0 | 1 | 0 | 3 | 6 | Oct. 28, 1994 | Japan | | | | | Abs. | |
| | 0 | 7 | 0 | 1 | 3 | 1 | 6 | 4 | Jan. 17, 1995 | Japan | | | | | Abs. | |
| | 0 | 7 | 0 | 2 | 8 | 0 | 6 | 3 | Jan. 31, 1995 | Japan | | | | | Abs. | |
| | | | 7 | 4 | 3 | 7 | 1 | 9 | Feb. 14, 1995 | Japan | | _ | | | | х |
| - - | | 7 | 1 | 9 | 9 | 1 | 9 | 3 | Aug. 4, 1995 | Japan | | | | · · - · · · · · · · · · · · · · · · · · · · | Abs. | |
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| OTHE | R D | OC | UMI | ENT | rs | (In | cluc | ling . | Author, Title, Date, Pertinen | t Pages, Etc.) | | | | | | |
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| Examiner | | | | | | | | | Date Co | onsidered | | · | | | - | |
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^{*}Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Art Unit:

Examiner:

This IDS is submitted under 37 C.F.R. §1.97(c), after the C.F.R. §1.97(b) time period, but before the mail date of a Final Office Action or a Notice of Allowance (whichever occurs first), with either:

- (a) a statement under 37 C.F.R. §1.97(e); or
- (b) the \$180.00 fee under 37 C.F.R. §1.17(p).

Applicant(s) submit herewith Form PTO-1449 (Information Disclosure Citation) together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. Applicant(s) respectfully submit that the citation of any reference on Form PTO-1449 does not constitute an admission that the reference qualifies as prior art.

It is requested that the information disclosed on the enclosed Form PTO-1449 be made of record in this application.

(X) Statement Under 37 C.F.R. §1.97(e):

2871

Nguyen, D.

- (X) The enclosed references were cited in two Communications issued by the Japanese Patent Office (copies enclosed). Applicant(s) hereby certify that each item of information cited on the enclosed Form PTO-1449 was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.
- () Applicant(s) hereby certify that no item of information cited on the enclosed Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information cited on the enclosed Form PTO-1449 was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- () Enclosed is a check for \$180.00 to cover the fee as set forth in 37 C.F.R. § 1.17(p).

The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or to credit any overpayment, to Deposit Account No. 07-2069. A duplicate copy of this sheet is enclosed.

Customer No. 24978
January 27, 2006
300 South Wacker Drive
Suite 2500
Chicago Illinois 60606

Chicago, Illinois 60606

Telephone: (312) 360-0080 Facsimile: (312) 360-9315 P\DOCS\\\delta\\28\\6468\\9\\9114.DOC Respectfully submitted.

GREER, BURNS & CRAIN, LTD.

Josh C. Spider Pag No. 47.05

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|----------------------------|--------------------------|----------------------|----------------|--|---------|--------------|----------------------------|------------------|--|
| Form PTO-1- (Rev. 8-88) | 449 U.S. Department of C | Commerce OI | PE | Attorney Docket No.: 4328.64683 Serial No.: 09/689,928 | | | | | |
| | TION DISCLOSURE CITAT | T10) | 2006 8 | Applicant: Takeda et al. | | | | | |
| | sheets if necessary) | ARE | 4 | Filing Date: October 12, 2000 | | Group: 2871 | | | |
| U.S. PATEN | T DOCUMENTS | & IBRUEMA | MW SECTION | | | | | | |
| Examiner Initial* | Document Number | Date | | Name | Class | Subclass | Filing Date If Appropriate | | |
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| FOREIGN P | ATENT DOCUMENTS | | | | | _ | | | |
| | | | | | | | Translat | on | |
| | Document Number | Date | Country | | Class | Subclass | Yes | No | |
| | 04-251285 | Sept. 7, 1992 | Japan | | | | Abs. | | |
| | 07-120767 | May 12, 1995 | Japan | | | | Abs. | | |
| | 08-022023 | Jan. 23, 1996 | Japan | | | | Abs. | | |
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| OTHER DO | CUMENTS (Including Autl | hor, Title, Date, Pe | ertinent Page: | s, Etc.) | | | · | | |
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| Examiner | | | Date | e Considered | | | | | |
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| Form PTO-1 (Rev. 8-88) | 449 U.S. Department of O Patent and Trademar | | | Attorney Docket No.: 4328.64683 Serial No.: 09/689,928 | | | | | |
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| | sheets if necessary) | | | Filing Date: October 12, 2000 Group: 2871 | | | | _ | |
| U.S. PATEN | T DOCUMENTS | | | | | | | | |
| Examiner Initial* | Document Number | Date | | Name | Class | Subclass | Filing Date If Appropriate | | |
| | 4,701,028 | Oct. 20, 1987 | Clerc | | | | | | |
| | 6,061,116 | May 9, 2000 | Nishida et a | ıl. | | | | | |
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| FOREIGN F | PATENT DOCUMENTS | | 1 | | | 1 | I | | |
| | | | | | | | Translation | | |
| | Document Number | Date | Country | | Class | Subclass | Yes | No | |
| | 07-333617 | Dec. 22, 1995 | Japan | | | | Abs. | | |
| | 08-043825 | Feb. 16, 1996 | Japan | | | | Abs. | | |
| | 60-256121 | Dec. 17, 1985 | Japan | <u> </u> | | | *x | | |
| | WO97/012275 | April 3, 1997 | WIPO | | | | **X (and Abs.) | | |
| | 02-035416 | Feb. 6, 1990 | Japan | | | | Abs. | | |
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| OTHER DO | CUMENTS (Including Aut | hor, Title, Date, Pe | ertinent Pages | s, Etc.) | | | | | |
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| Examiner | | | Date | e Considered | | | | | |
| *Examiner: | | | | ot citation is in conformance with | | | | | |

^{* 60-256121} corresponds with U.S. Patent No. 4,701,028 **WO97/012275 corresponds with U.S. Patent No. 6,061,116